

Form for Fresh Nomination and Change in Nomination

To be filled in by Resident Individual(s), NRI, OCI, PIO, Sole Proprietor applying singly or jointly. Please fill this form in English and in Block Letters.

Investment Account No.

Date / /

I / We, _____, as a Sole / First Holder, _____, as a Second Holder, _____, as a Third Holder, nominate the person(s) described hereunder to receive all the Mutual Fund Unit(s) held in all the folio(s) created with Kotak Mahindra Bank Ltd. in the event of my / our demise.

Mode of operation as Singly Either & Survivor Joint (tick any one)

Fresh Nomination for New Folio(s) Change of Nomination in Existing Folio(s)

Sr. No.	Asset Management Company (AMC)	Folio No.

In case of more than 3 folios, additionally please submit the Annexure I, duly signed by all the account holder(s).

NOMINATION DETAILS

1 st Nominee	Name of the Nominee*		Allocation %#
	PAN of the Nominee		Date of Birth of Nominee** <input type="text"/> / <input type="text"/> / <input type="text"/>
	Nominee Relationship*		
	Name of the Guardian**		PAN of the Guardian
	Guardian's Relationship with Nominee** <input type="checkbox"/> Mother <input type="checkbox"/> Father <input type="checkbox"/> Legal Guardian		
	Proof of Relationship <input type="checkbox"/> Birth Certificate <input type="checkbox"/> School Leaving Certificate <input type="checkbox"/> Passport <input type="checkbox"/> Others		
	Address* _____ City _____ State _____ PIN _____		

2 nd Nominee	Name of the Nominee*		Allocation %#
	PAN of the Nominee		Date of Birth of Nominee** <input type="text"/> / <input type="text"/> / <input type="text"/>
	Nominee Relationship*		
	Name of the Guardian**		PAN of the Guardian
	Guardian's Relationship with Nominee** <input type="checkbox"/> Mother <input type="checkbox"/> Father <input type="checkbox"/> Legal Guardian		
	Proof of Relationship <input type="checkbox"/> Birth Certificate <input type="checkbox"/> School Leaving Certificate <input type="checkbox"/> Passport <input type="checkbox"/> Others		
	Address* _____ City _____ State _____ PIN _____		

3 rd Nominee	Name of the Nominee*		Allocation %#
	PAN of the Nominee		Date of Birth of Nominee** <input type="text"/> / <input type="text"/> / <input type="text"/>
	Nominee Relationship*		
	Name of the Guardian**		PAN of the Guardian
	Guardian's Relationship with Nominee** <input type="checkbox"/> Mother <input type="checkbox"/> Father <input type="checkbox"/> Legal Guardian		
	Proof of Relationship <input type="checkbox"/> Birth Certificate <input type="checkbox"/> School Leaving Certificate <input type="checkbox"/> Passport <input type="checkbox"/> Others		
	Address* _____ City _____ State _____ PIN _____		

*Mandatory fields. PAN of the Nominee & PAN of the Guardian are optional fields. **Mandatory fields in case the Nominee is a Minor.

#The total allocation (%) of the unit(s) shared by every nominee should aggregate to 100%.

I / We hereby declare and confirm that I / We shall retain the same nominee details as provided above in all my / our new mutual fund folio/s created through Kotak Mahindra Bank Ltd. I / We also declare and confirm that in case I / We wish to make any changes in my / our nominee details in future, I / We shall make specific requests to Kotak Mahindra Bank Ltd. for the purpose, in the prescribed format.

Name	Sole / First Holder	Second Holder	Third Holder
Permanent Account Number (PAN)	Sole / First Holder	Second Holder	Third Holder
Signature	Sole / First Holder	Second Holder	Third Holder

Declaration Form for Opting Out of Nomination

To be filled in by Resident Individual(s), NRI, OCI, PIO, Sole Proprietor applying singly or jointly. Please fill this form in English and in Block Letters.

 Investment Account No.

 Date / /

I / We, _____, as single / First Holder, _____, as Second Holder and _____, as Third Holder, hereby confirm that I / We do not wish to appoint any nominee for my / our new mutual fund Folio(s) created through Kotak Mahindra Bank Ltd. from the above-mentioned date onwards. I / We understand the issues involved in non-appointment of nominee and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents issued by Court or other such competent authority, based on the value of assets held in the mutual fund folio.

Mode of operation as Singly Either & Survivor Joint (tick any one)

Opt-out of Nomination from New Folio(s) Opt-out of Nomination from Existing Folio(s)

Sr. No.	Asset Management Company (AMC)	Folio No.

In case of more than 3 folios, additionally please submit Annexure I, duly signed by all the account holder(s).

I / We, hereby declare and agree to Opt-out from nominating any person(s) to receive all the Mutual Fund Unit(s) by me / us held in all the new folio(s) created henceforth with the Kotak Mahindra Bank Ltd. in the event of my / our demise.

I / We also confirm that in case I / We wish to make any changes in my / our nomination details in future, I / We shall make specific request(s) to Kotak Mahindra Bank Ltd., in the prescribed format.

Name	Sole / First Holder	Second Holder	Third Holder
Permanent Account Number (PAN)	Sole / First Holder	Second Holder	Third Holder
Signature	Sole / First Holder	Second Holder	Third Holder

If both the forms ("Form for Fresh Nomination and Change in Nomination" form and "Declaration Form for Opting Out of Nomination" form) are signed by the holders, then "Form for Fresh Nomination and Change in Nomination" will be taken as the default option.

Instructions for filling the form

1. In cases of investments jointly held, all the account holders have to sign the Form for Nomination / Declaration Form for Opting Out of Nomination.
2. The nomination can be made only by individuals applying for / holding units on their own behalf singly or jointly. Non-individuals including society, trust, body corporate, partnership firm, karta of Hindu undivided family, holder of Power of Attorney cannot nominate. If the units are held jointly, all joint holders will sign the nomination form. Space is provided as a specimen, if there are more joint holders, more sheets can be added for signatures of holders of units and witnesses.
3. Nomination can be made for maximum number of three nominees. In case of multiple nominees, the percentage of allocation / share in favour of each of the nominees should be indicated against their name and such allocation / share should be in whole numbers without any decimals making a total of 100 percent.
4. In case you don't fill the percentages, the allocation between nominees would be as per the respective AMC's policy.
5. Please fill separate forms for different folios in case the nominee/s or the percentage allocation is different.
6. Please fill in all details in the forms even in case of modification.

Terms and Conditions

1. I / We understand that the nomination will be as per the terms and conditions of the respective mutual fund schemes more specifically stated in its offer document.
2. Nomination will be registered for Online Folios only.
3. The responsibility of nomination / opt out of nomination for mutual fund units lies solely with the Mutual Fund House and the Bank as distributor is in no way whatsoever responsible or liable for the same.
4. Minor(s) can be nominated and in that event, the name and address of the Minor Nominee's Guardian shall be provided by the unit holder. Nomination can also be in favour of the Central Government, State Government a local authority, any person designated by virtue of his office or a religious or charitable trust.
5. The Nominee shall not be a trust (other than a religious or charitable trust, society), body corporate, partnership firm, karta or Hindu Undivided Family or a Power of Attorney holder. A non-resident Indian can be a Nominee subject to the exchange control regulations in force from time to time.
6. Nomination in respect of the units stands rescinded upon the transfer of units.
7. Transfer of units in favor of Nominee(s) shall be valid discharge by the AMC against the legal heir.
8. The opt out of nomination for existing units can be made only by those individuals who hold units on their own behalf singly or jointly and who have made the original nomination.
9. All the existing nominee(s) in the investment account and online folio(s) will be overwritten with the new nominee as mentioned above.
10. If both the forms ("Form for Fresh Nomination and Change in Nomination" form and "Declaration Form for Opting Out of Nomination" form) are signed by the holders, then "Form for Fresh Nomination and Change in Nomination" will be taken as the default option.
11. The cancellation of nomination can be made only by those individuals who hold units on their own behalf singly or jointly and who made the original nomination.
12. On cancellation of the nomination, the nomination shall stand rescinded and the Asset Management Company shall not be under any obligation to transfer the units in favour of the Nominee.

For Bank Use only

Signature Verification Branch Stamp _____ Employee Code _____

Employee Name _____

Employee Signature _____

